PTO/SB/21 (08-03)
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der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/608,383 TRANSMITTAL Filing Date 6/27/2003 **FORM** First Named Inventor Matthew Ward Art Unit 2875 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number WARD-01000US1 n/a Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)						
	Fee Transmittal Form	Drawing(s) After Allowance communication to Technology Center (TC)				
	Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement One Cited Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Post Card				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individu Signatu	Joseph P. O'Mal Fliesler Dubb Me					
Date August 19, 2004		Reg. No.: 36,226				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name						
Tori Muir						

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Signature	Seri Precio	Date	8/19/04	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Matthew Ward

Appln. No.:

10/608,383

Confirm. No.:

7967

Filed:

June 27, 2003

Title: LIGHT EMITTING DIODE (LED) PICTURE

ELEMENT

PATENT APPLICATION

Art Unit:

2875

Examiner:

(Attorney Signature)

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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Teri Muir

Signature Date: August 19, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- The present application is being/was filed after June 30, 2003. In accordance with the pre-official gazette waiver of 37 CFR 1.98 (a)(2)(i) posted pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents publications are not enclosed. However, copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98(a)(2), as still required, except for those items designated by an asterisk (*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an Information Disclosure Statement submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(ac), as allowed under 37 C.F.R. §1.98(d)(1).

The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed, except for those items designated by an as required by 37 C.F.R. §1.98 is enclosed, except for those items designated by an asterisk (*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an *Information Disclosure Statement* submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3). PTA Statement under 37 C.F.R. §1.704(d). Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement. This statement should be considered because: 37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because: (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d); -- OR --(2) It is being filed within 3 months of entry of a national stage; -- OR --(3) It is being filed before the mailing date of the first Office Action on the merits, -- OR --It is being filed before the mailing date of the first Office Action after the (4) filing of a Request for Continued Examination under 37 C.F.R. §1.114. 37 C.F.R. §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because: (1)It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first. -- AND (check at least one of the following) --It is accompanied by a STATEMENT as set forth in 37 C.F.R. (1) §1.97(e). -- OR --(2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

statement qualifies under 37 C.F.R. §1.97, <u>subsection (d)</u> because:

37 C.F.R. §1.97(d). Although it may not qualify under subsection (b) or (c), this

- (1) It is being filed on or before payment of the Issue Fee; -- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e); -- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).
- Fee Authorization. The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER MEYER LLP

Date: August 19, 2004

Joseph P. O'Malley Reg. No. 36,226

FLIESLER MEYER LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone (415) 362-3800 AUG 2 3 2004 W Form PTO-1449 U.S. DEPARTMENT OF COMMERCE

WARD-01000US1 10/608,383 PATENT AND TRADEMARK OFFICE (Substitute) Information Disclosure Statement BY APPLICANT (Use several sheets if necessary) Applicant/Patent Owner Matthew Ward Filing/Issue Date Group Art Unit June 27, 2003 2875 U.S. PATENTS Examiner Issue Date Class Subclass First Named Inventor Filing Date Patent Number Initial 07/06/93 5,375,043 12/20/94 362 31 Tokunaga U.S. PATENT PUBLICATIONS Examiner Patent Application Publication Number **Publication Date** Applicant Initial PENDING U.S. PATENT APPLICATIONS Petition to Application Number Filing Date First Named Inventor Examiner Expunge? Initial Yes | No FOREIGN PATENT DOCUMENTS Trans-Examiner **Document Number** Publication Country Class Subclass lation Initial Date Yes | No OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages) Examiner **Date Considered** *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *1 = Copy not submitted because it was submitted in prior application SN / , filed , 20 , relied on under 35 USC §120.
*2 = Copy not submitted because it was submitted in prior application SN / , filed , 20 , relied on under 35 USC §120.

Attorney Docket Number

Serial/Patent Number